

IBM CORPORATION  
INTELLECTUAL PROPERTY LAW DEPARTMENT  
11400 BURNET ROAD  
AUSTIN, TEXAS 78758  
FAX # 512-823-1036

DATE: January 16, 2003

Number of Pages to Follow (including cover sheet) 44

SEND TO: United States Patent Office

Examiner: Angela Armstrong

Group Art Unit: 2654

Tel No: 703-308-6258

Fax #: 703-872-9315

FROM: Patsy Spears

Tel No: 512-823-1008

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Docket No. AT9-98-343

Serial No. 09/213,856

Atty: Leslie A. Van Leeuwen

Applicant: Scott A. Morgan et alk

☒ Transmittal Letter (2 copies)

☐ Amendment

☐ Amendment AF

☐ Ext. of Time

☐ IDS Statement

☐ Other

☐ Certificate of Facsimile

☐ Notice of Appeal

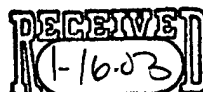
☒ Appeal Brief (3 copies)

☐ Reply Brief

☐ Change of Address

Deposit Acct. No. 09-0447

Fees: Amendment ☐ Notice of Appeal ☐ Appeal Brief ☐ Other ☐

**Official**PATENT  
09/213,856

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of : Before the Examiner:  
Scott A. Morgan et al. : Angela Armstrong  
Serial No.: 09/213,856 : Group Art Unit: 2654  
Filed: December 17, 1998 : Intellectual Property  
Title: SPEECH COMMAND INPUT : Law Department - 4054  
RECOGNITION SYSTEM FOR : International Business  
INTERACTIVE COMPUTER DISPLAY : Machines Corporation  
WITH INTERPRETATION OF : 11400 Burnet Road  
ANCILLARY RELEVANT SPEECH : Austin, Texas 78758  
QUERY TERMS INTO COMMANDS : Date: January 16, 2003

CERTIFICATE OF FACSIMILE TRANSMISSION

I hereby certify that this correspondence including a Brief on Appeal and an accompanying Amendment is being transmitted via facsimile to USPTO, Group Art Unit 2654 at telephone number 703-872-9315, and to the attention of Examiner A. Armstrong on January 16, 2003

PATSY SPEARS

Signature

Date

TRANSMITTAL OF APPELLANTS' BRIEF UNDER 37 CFR 1.192(a)

Assistant Commissioner of Patents

Washington, D.C. 20231

Sir:

Attached is Appellants' Brief (in triplicate) accompanied by an Amendment in this Appeal from a decision of the Examiner dated August 27, 2002 finally rejecting Claims 1-3, 5-8, 10-13 and 15.

01/27/2003 SMOORE 00000011 090447 09213856

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AT9-98-343

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PATENT  
09/213,856

Applicants respectfully request that the Appeal Brief fee be waived by the U.S. Patent Office since Applicants filed a previous Appeal herein on September 13, 2001, and a subsequent Appeal Brief for which they already have paid the Appeal and Appeal Brief fees. Subsequently, after Applicants filed their Brief in this earlier Appeal, the Examiner withdrew his previous final rejection, and reopened the present prosecution.

Should there be any charge herein, please charge Deposit Account 09-0447. A duplicate of this notice is attached.

Respectfully submitted,

By Leslie Van Leeuwen  
Leslie Van Leeuwen  
Registration No. 42,196  
Intellectual Property Law  
IBM Corporation - 4054  
11400 Burnet Road  
Austin, Texas 78758

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Telephone No:  
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AT9-98-343

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PATSY SPEARSPatsy Spears  
SignatureJanuary 16, 2003  
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